

Prediction and Prevention of Falls and Fractures in High Risk Populations

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Definition of high risk populations

Prediction of falls in high risk populations

Prevention in high risk populations

Baseline risk of falls in CD persons age 50 - 65 yrs. 15-20 %

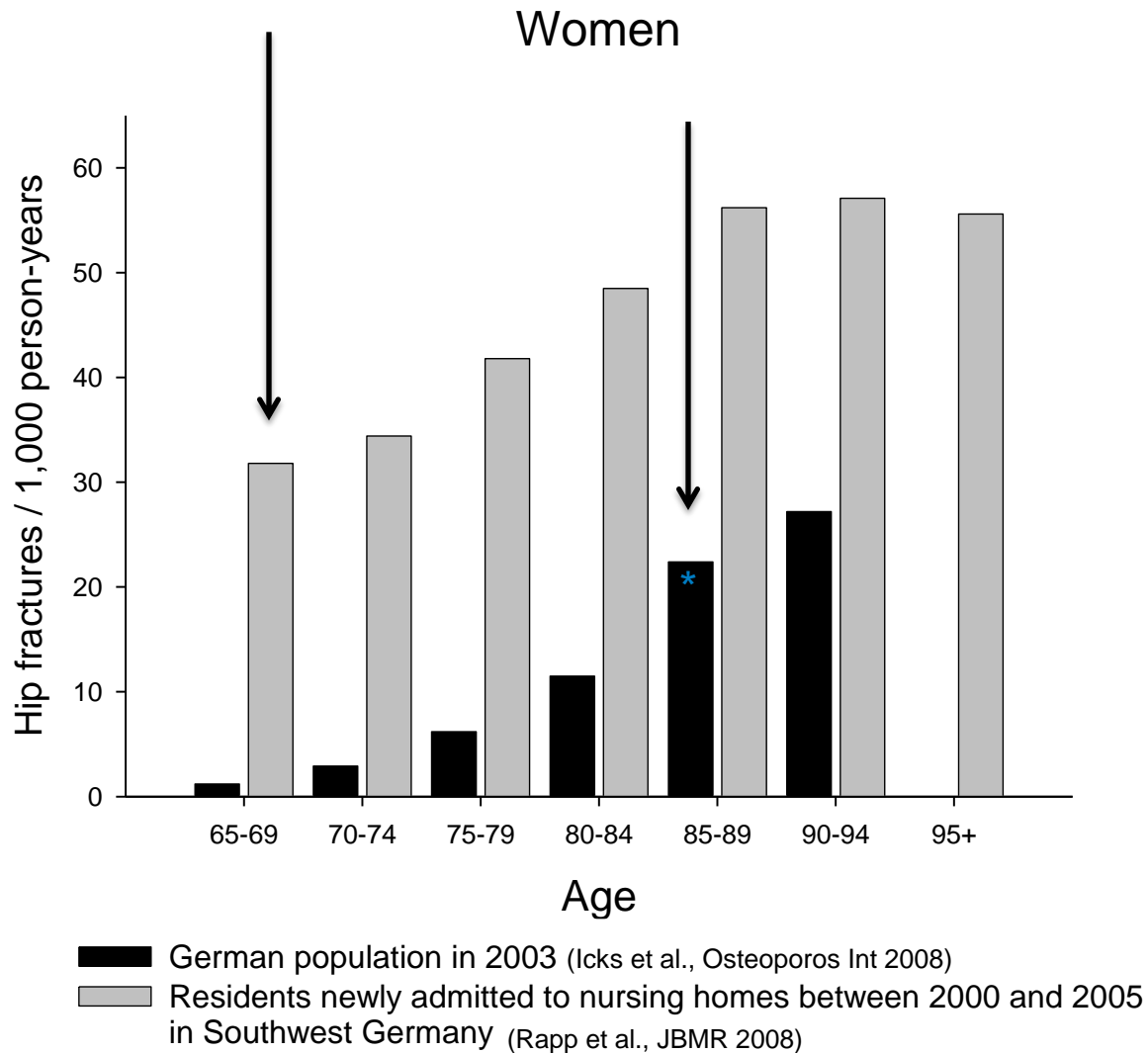
Baseline risk of falls in CD healthy older persons: 20 - 30 %

High risk populations: > 40 %,

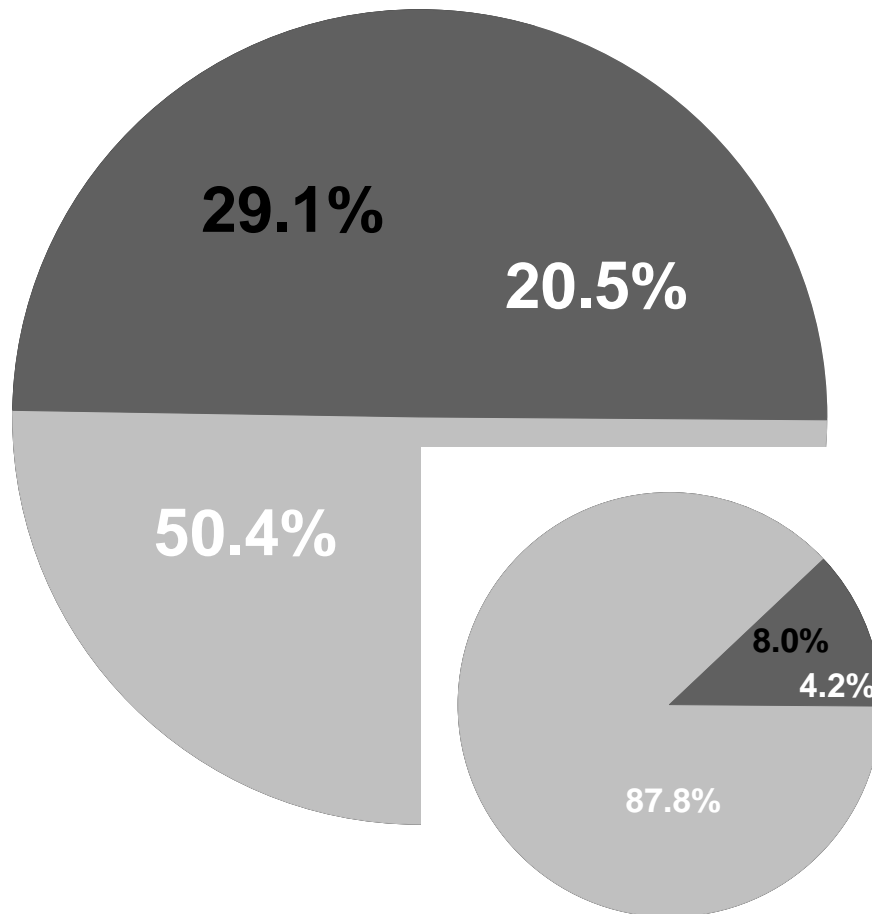
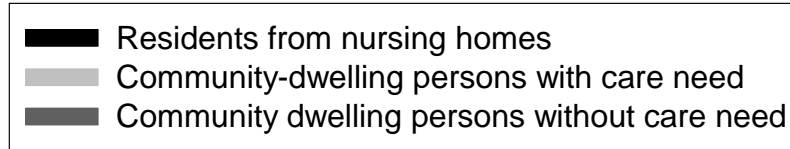
Recurrent fallers, post fracture

Annual (hip) total fracture rates > 2 %

Hip fracture rates in Germany



Distribution of hip fractures in Germany



20 % of hip fractures occur in long-term care
e.g. 4 % of the population

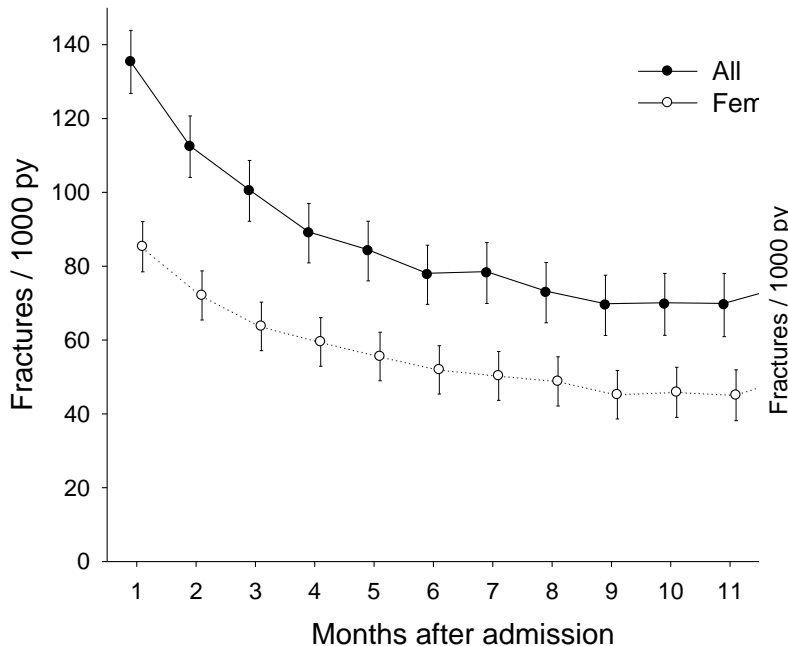
30 % occur in home-care patients
e.g. 8 % of the population

50 % have no ADL care needs at time of the hip fracture
e.g. 88 % of the population

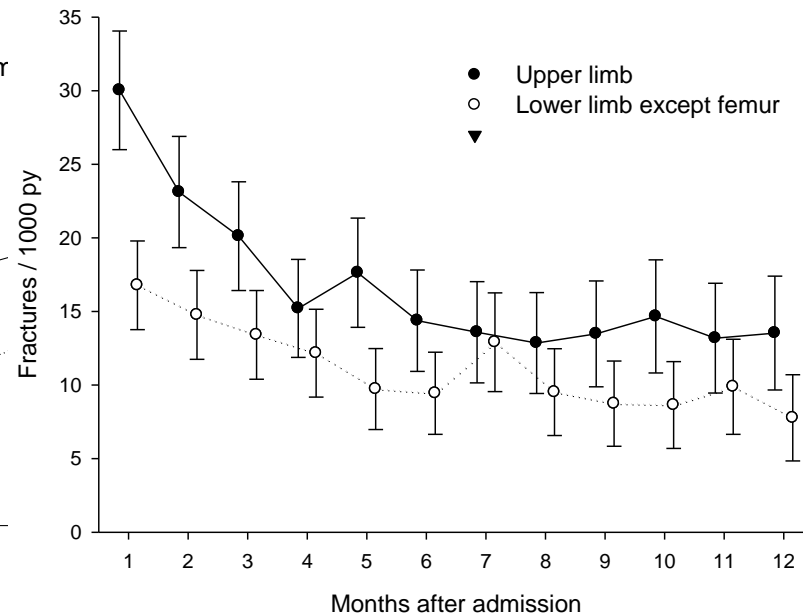
Priority setting

Example: incidence rate of fractures after admission to a nursing home

All fractures combined and femoral fractures



Fractures of the upper limb and the lower limb (except femur)



Risk indikator (factor)	OR
Static balance (unstable standing)	x 1,7
Dynamic balance (unsafe walking)	x 2,3
Transfer difficulties	x 2,2
Cognitive deficits, e.g. attention, execution	x 1,9
Psychotropics such as tranquilizers	x 1,9
Urge incontinence and nykturia	x 2,3
Vision problems e.g cataract, AMD	x 1,6
Recent fall	x 4

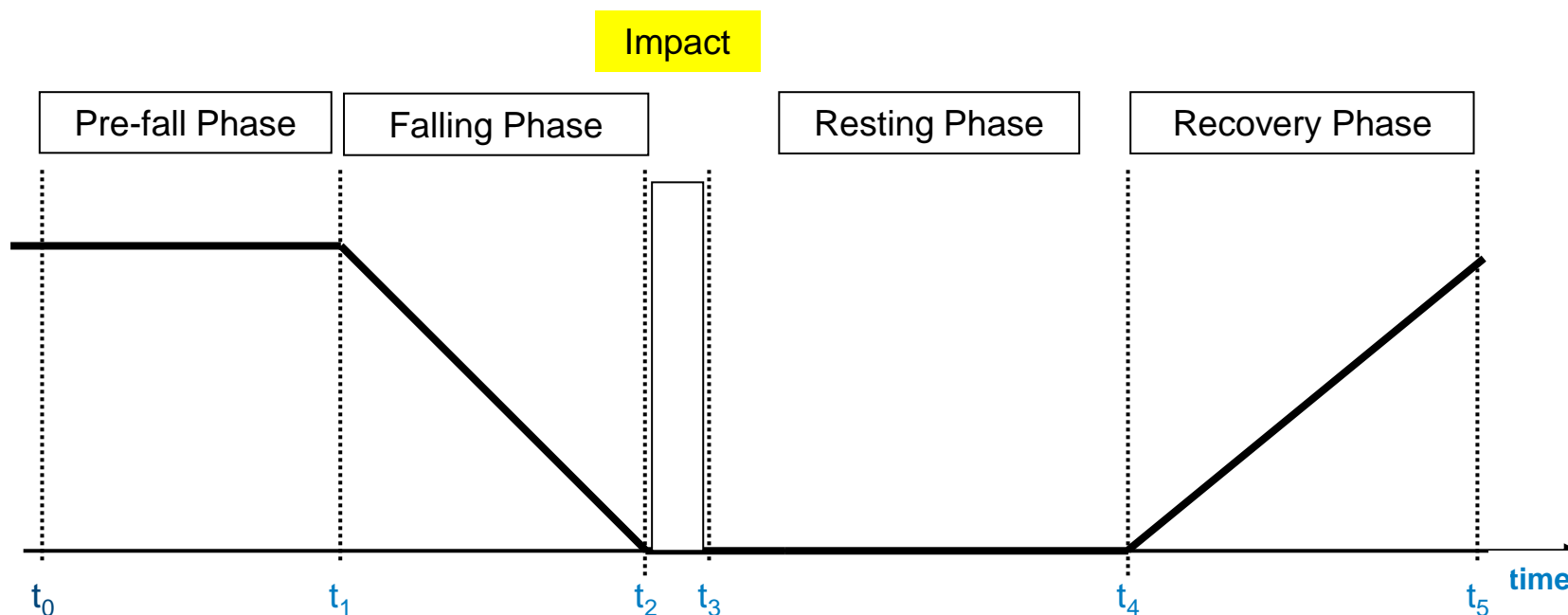
Database of > 70,000 falls

Serious falls were associated with

Higher age, female gender and better functional status.

Walking less common than transfers

Afternoon hours and weekends were more problematic



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Proposal for a multiphase fall model based on real-world fall recordings with body-fixed sensors

„All models are wrong. The question is how wrong they are to still be useful.“

Are assessments useful?

ASSESSMENT MOBILITY
Unaided
Aids with walking aid
Unstable with or without aid / dizziness, weakness
or unstable balance, ataxia or ataxic gait

PREVIOUS FALLS (within 12 months)
None
1-2 within last 12 months
3 or more within last 12 months

SENSORY / PERCEPTION DEFECTS
None
Visual / Limb weakness / vestibular problems
Cognitive / Perceptual deficit
Disorientation

MENTAL STATUS
History of confusion
Disorientation

ELIMINATION - See C
Independent/continent
Catheter / assistance required / incontinence

MEDICATION
None
Sedative / tranquilizer / hypnotic / sedative
or
Anticholinergic / antipsychotic / antiemetic / anti-anxiety

TRANSFERS
Steady
Hesitant
Poor Transfer
Unsteady

ABILITY TO STAND
Unaided
Independent with 1 + Aid
Independent with 2 + Aid
Unaided
Independent with 1 + Aid
Independent with 2 + Aid

REACH
Safely Reaches
Balance Affected

MEDICATION
Anti-Hypertensives
Diuretics
Sedatives
Anti-

STAL STAY
Yes
No
Yes
No
Yes
No
Yes
No
Yes
No
Yes
No

ELIMINATION
Toilet or commode
Toilet or commode
but incontinent
COMMUNICATION

SEX
Male
Female

AGE
60-70
71-80
81+

MEDICAL HISTORY
Chronic Neurological Deficit
Arthritis
Recent CVA + Residual Weakness
Fracture/Plaster/Sling/Collar & Cuff
Recent Surgery
Heel Pressure Damage
Chiropody Needed

FALLS HISTORY
Falls
Last 48 hours
Last six months
Last 12 months

FALLS RISK ASSESSMENT
Patient Identification label
Name
Ward

ASSESSMENT
SEX: MALE = 1, FEMALE = 2
AGE: UNDER 60 = 1, 60-70 = 2, 71-80 = 3, 80+ = 4
GAIT: Steady = 1, Poor transfer = 2, Unable to assess (eg. Bed bound) = 3
MOBILITY: Full = 1, Restricted/enforced bed rest = 2, Independent with use of wheelchair = 1, Uses aid = 2, Unsteady = 3
MEDICATION: Hypnotics = 2, Anti-Anxiety = 1, Anti-Parkinsons = 1, Both = 2
FALL HISTORY: In care settings = 1, None = 0
MEDICAL HISTORY: Diabetes = 1, Neurological event/deficit (e.g. CVA, Tumour) = 2, Parkinson disease = 5, Unable to call for help = 2
COMMUNICATION DEFICIT: Deaf/hard of hearing = 1
NURSE INITIAL
SCORE: 0-8 = LG, 8-12 = HC, 13-17 = H, 18-20 = V, 21-24 = C, 25-30 = S, 31-35 = P, 36-40 = M, 41-45 = H, 46-50 = V, 51-55 = C, 56-60 = S, 61-65 = P, 66-70 = H, 71-75 = V, 76-80 = C, 81-85 = S, 86-90 = P, 91-95 = H, 96-100 = V

SCORE CRITERIA
Level of Risk:
Low Risk (green) = 1-7
Medium Risk (yellow) = 8-12
High Risk (red) = 13 or above

DIAGNOSIS
Limited mobility / Restricted / unsteady
Altered mental state i.e. Confusion, sedation, restlessness and disorientation
Unwillingness / Inability to call for help
Limited vision / speech / hearing
'Falls' primary / admission diagnosis
2 or more falls in the last 6 months
Drugs implicated in falls (Guidance note 2)
2 or more medications
Psychotic

SCORE CRITERIA
Total Score
Date

- Multifactorial interventions by multiprofessional teams

- *Attitude and leadership

- *Appropriate risk management and a culture of CIRS

- *Regular medication review

Adapted exercise (but never alone!): UmDEx, Ulm exercise program

Environmental adaptations and design

Walking aid, wheelchair ergonomics and design

- *Vitamin D supplementation for walkers 800 IE / day

For definitions of multifactorial interventionen and multiprofessionals see Lambert al. Trials 2012)

* GCP

■ Multifactorial Intervention

Attitude and leadership

Risk management and a culture of CIRS

Regular medication review

Action: Exercise classes (2x week for at least 3 months)

Counseling on environment and ergonomics

Including maintenance of walking aids

Recommendations for Vitamin D

Hip protector tool kit

- Medication review
 - Start low, go slow (Hartikainen 2007, Leipzig 1999)
 - Review after 4 Wochen aim to reduce / or stop if possible

- Monitor blood pressure and orthostasis (Shaw 2003)

Service Use and Costs of Incident Femoral Fractures in Nursing Home Residents in Germany: The Bavarian Fall and Fracture Prevention Project (BF₂P₂)

Sven Heinrich, MSc, Kilian Rapp, MD, MPH, Ulrich Rissmann, MSc, Clemens Becker, MD, and Hans-Helmut König, MD, MPH

Objectives: Hip fractures are one of the most costly consequences of falls in the elderly. Despite their increased risk of falls and fractures, nursing home residents are often neglected in service utilization and costing studies. The purpose of this study was to determine service use, initial and long-term direct costs of incident femoral fractures in nursing home residents 65 years or older in Germany.

Design: An incidence-based, bottom-up cost-of-illness study aiming at measuring fracture-related direct costs from a payer perspective was conducted.

Setting: Nursing homes

Participants: The retrospective dataset included all insurants of a sickness fund (Allgemeine Ortskrankenkasse Bavaria), who were 65 years or older, resided in a nursing home, and had a level of care of at least one in the statutory long-term care insurance (n = 60,091).

Measurements: Incident femoral fractures (ICD-10, S72) in 2006 were followed until the end of 2008, incorporating service use and costs of inpatient care (up to 12 months after the initial hospitalization episode), nursing home care (until death or the end of 2008), and ambulatory care (pharmaceuticals, nonphysician providers, and medical supply within 3 months after the initial hospitalization episode). Additional costs for nursing home and ambulatory care were deter-

mined with a before/after design. Costs beyond the year 2006 were discounted with a rate of 5%. Sensitivity analyses on key parameters were performed.

Results: Overall mean direct costs of 9488 USD (SD ± 4453 USD, 2006) occurred for incident femoral fractures (n = 1525). This included inpatient care (90.2%), additional costs for nursing home care (7.1%), and ambulatory care (2.7%). Eighty-seven percent of the costs occurred for the initial hospitalization episode and 13% for long-term costs. After the index admission, 12.1% were admitted to a rehabilitation facility, 4.1% were rehospitalized within a year, and in 17.7% the level of care increased within 90 days after the end of the initial hospital episode. The share of residents with incident femoral fractures rehospitalized was significantly higher and costs for nonphysician providers were significantly lower for male residents.

Conclusion: Residents with femoral fractures used a wide range of health services. Our study underestimates the true costs to society in Germany. Efforts should be directed to economic evaluations of fall-prevention programs aiming at reducing fall-related fractures including femoral fractures. (J Am Med Dir Assoc 2011; ■: ■-■)

Keywords: Initial costs; long-term costs; nursing home residents; femoral fracture; incidence-based; payer perspective

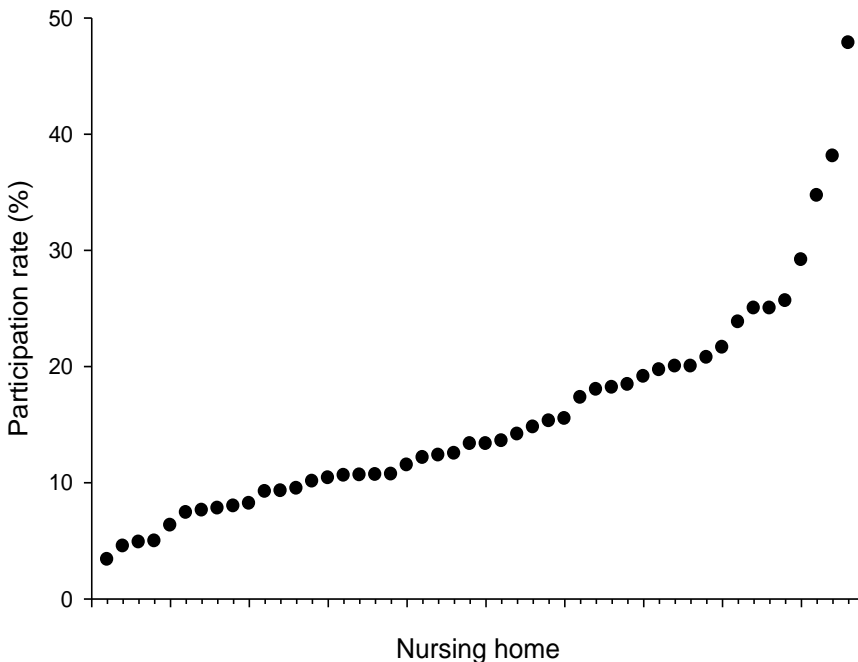
Effect of the fall prevention program on femoral fracture incidence (The Bavarian Fracture Prevention Study)

Characteristics of the study population

	Intervention group	Control group
Nursing homes		
N	256	893
Number of beds		
Mean (SD)	94.4 (41.3)	70.8 (43.7)
Study population		
Gender		
Male, n (%)	2,892 (21.2)	6,828 (21.6)
Female, n (%)	10,761 (78.8)	24,840 (78.4)
Age (years)		
Mean (SD)	84.3 (7.5)	84.2 (7.7)

subgroup of 49 Bavarian homes, 4,300 residents

Participation rates by care homes

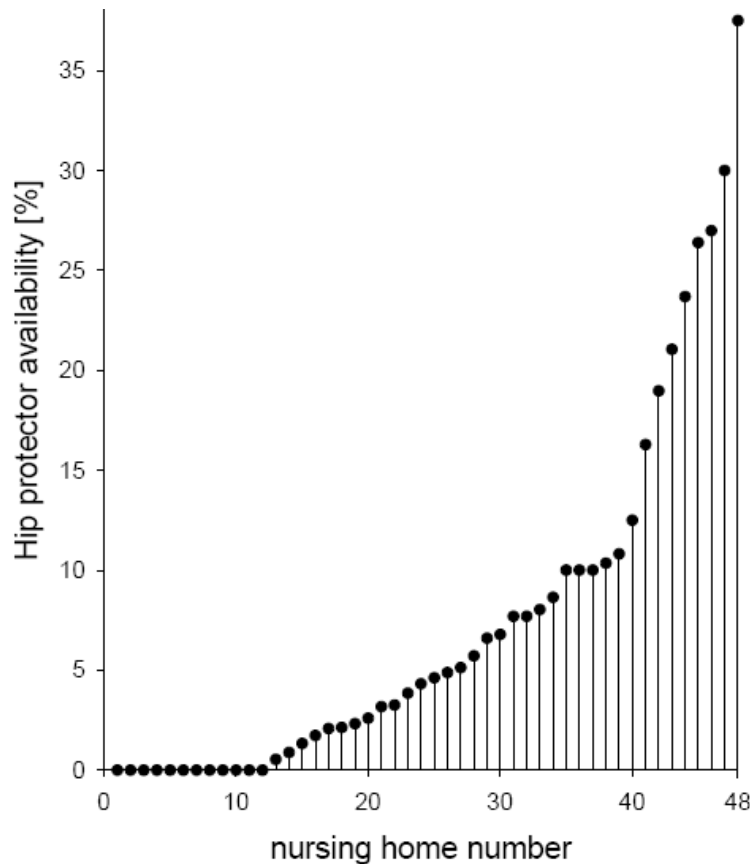


Median (Range): 13,5% (3,4-47,8)

Predictors of participation

	Odds ratio (95% CI)	
Gender		
Women	1.0	
Men	0.67	(0,52-0,86)
Age (years)		
<65	0,70	(0,43-1,14)
65-74	1,18	(0,85-1,64)
75-84	1.0	
85-94	0,76	(0,60-0,96)
=95	0,52	(0,32-0,85)
Recipient of welfare aid		
No	1.0	
Yes	0,65	(0,51-0,84)
Level of care		
1	1.0	
2	0,32	(0,25-0,41)
3	0,05	(0,03-0,09)
0	1,10	(0,85-1,44)
Mother tongue		
German	1.0	
Other	0,73	(0,35-1,52)

Rates of HP availability by care homes



Predictors of HP availability

	Hip protector availability (n=3,924)	
	OR (95% CI)*	
	unadjusted	mutually adjusted
Sex (men vs. women)	0.54 (0.39; 0.74)	0.59 (0.43; 0.83)
Age		
65-74 years	0.58 (0.37; 0.90)	0.79 (0.50; 1.24)
75-84 years	1.00	1.00
85-94 years	1.21 (0.93; 1.58)	1.14 (0.87; 1.50)
≥95 years	1.44 (0.93; 2.21)	1.27 (0.82; 1.97)
Level of care		
0	0.30 (0.17; 0.52)	0.32 (0.18; 0.56)
1	1.00	1.00
2	1.32 (1.01; 1.72)	1.29 (0.98; 1.69)
3	0.57 (0.40; 0.82)	0.55 (0.38; 0.79)
Migration background	0.26 (0.08; 0.85)	0.30 (0.09; 0.99)
Recipient of welfare aid	0.55 (0.41; 0.74)	0.60 (0.44; 0.81)

* Odds ratio (OR) and 95% confidence interval (95% CI) for multilevel logistic regression analysis.

Absorption model



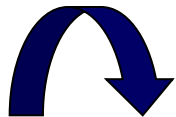
Shell model



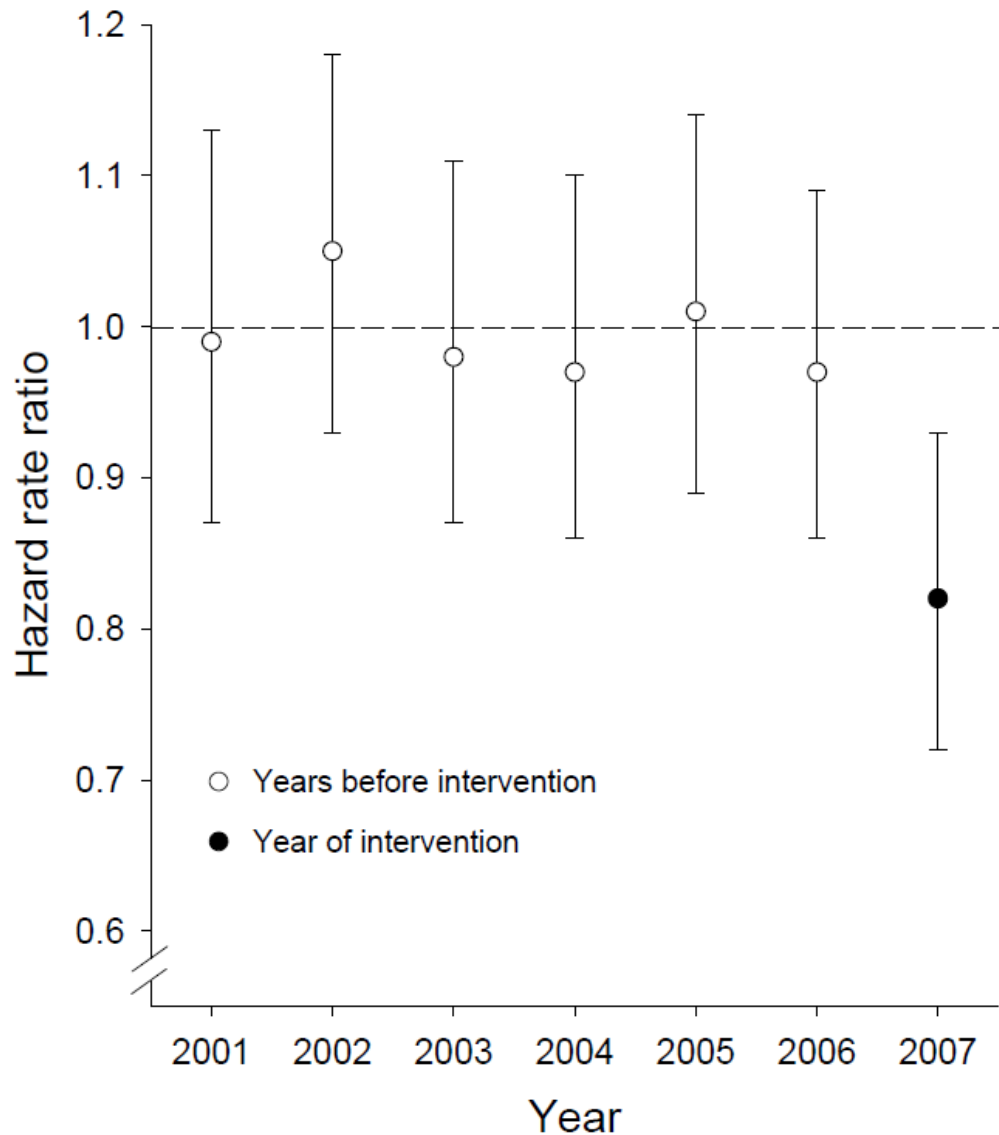
Effect of the fall prevention program on femoral fracture incidence (The Bavarian Fracture Prevention Study)

	Femoral fractures, n	Total person-years	Femoral fractures/1000 person-years	HR (95% CI)*
Fall prevention program				
No (Control group)	917	22,450	41.0	1.00
Yes (Intervention group)	331	9,882	33.6	0.82 (0.72-0.93)

* Hazard rate ratio and 95% confidence interval adjusted for gender, age, number of beds (log) and level of care



First intervention year: reduction of hip fractures by 18 %



Relative risk of femoral fractures between residents from intervention and control homes in the years before the start of the intervention (2001-2006) and in the year of the intervention (2007)

- Fall prevention should be part of a mobility and safety program
- Carefully read study to identify implementation gaps
- Falls and fall related injuries are partly preventable
benchmark for hip fracture in
LTC < 3% p.a.
home care < 2% p.a.,
independent community dwellers > 80 J. <1% p.a.
- Shared cost models (direct and indirect costs)
- Professional caregivers need empowerment and leadership
- Repressive methods are unjustified

- New environment
- Fall prevention major topic immediately after admission
- Usage and ergonomics of walking aids
- Hip protectors
- Setting up the bedroom
- Ergonomics of furniture